

Applicant or Patentee: David P. Martin, et al.

Attorney's
Docket No.:
MBX012

Serial or Patent No.:

Filed or Issued: Herewith

For: METHODS FOR ISOLATING POLYHYDROXYALKANOATES
FROM PLANTS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Metabolix, Inc.
303 Third Street, 2nd Floor
Cambridge, MA 02142-1196

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "Methods for Isolating Polyhydroxyalkanoates from Plants" by David P. Martin, Oliver P. Peoples and Simon F. Williams described in the application filed herewith.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

"Methods for Isolating Polyhydroxyalkanoates from Plants"

By: David P. Martin, et al.

Filed: Herewith

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

NAME METABOLIX INC.
ADDRESS 303 THIRD STREET, CAMBRIDGE, MA 02142
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:
TITLE OF PERSON OTHER THAN OWNER
ADDRESS OF PERSON SIGNING

SIMON F. WILLIAMS
TREASURER
METABOLIX INC. 303 THIRD ST CAMBRIDGE MA 02142

Simon F. Williams
SIGNATURE

10/24/95
DATE

CERTIFICATE UNDER 37 CFR 3.71(d)

Applicants: David P. Martin, et al.

Application No.:

Filed: Herewith

For: METHODS FOR ISOLATING POLYHYDROXYALKANOATES FROM PLANTS

Metabolix, Inc.
(Name of Assignee)

a corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From:
To:
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From:
To:
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and believe are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date

: 10/24/95

Name

: SIMON F. WILLIAMS

Title

: TREASURER

Signature

: SIMON F. WILLIAMS

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS FOR ISOLATING POLYHYDROXYALKANOATES FROM PLANTS

the specification of which (check one)

x is attached hereto

_____ was filed on _____
as application Serial No. _____

_____ and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority
Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

Status
(patented, pending, abandoned)

"Methods for Isolating Polyhydroxyalkanoates from Plants
By: David P. Martin, et al.
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DECLARATION

As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
Madeline I. Johnston	36,174
Dolly A. Vance	39,054

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Direct telephone calls to:

Patrea L. Pabst (404)873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor David P. Martin

Inventor's signature David P. Martin

Date 10/24/95

Residence 82 Hibbert Street, Arlington, Massachusetts 02174

Citizenship U.S.A.

Post Office Address Same as above

Full name of second joint inventor (if any) Oliver P. Peoples

Inventor's signature Oliver P. Peoples

Date 10/24/95

Residence 27 Radcliffe Road, Arlington, Massachusetts 02174

Citizenship Scotland

Post Office Address Same as above

"Methods for Isolating Polyhydroxyalkanoates from Plants
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DECLARATION

Full name of third joint inventor (if any) Simon F. Williams
Inventor's signature Simon F. Williams Date 10/24/95
Residence 20 Deerfield Road, Sherborn, Massachusetts 01770
Citizenship Great Britain
Post Office Address Same as above

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